

| | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---------------------------------------------------------|--|------------------------------------------------------------------------|
| FORM PTO-1190 (REV 5-93) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | 15390-00013US |
| | | | | U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 08/973576 |
| INTERNATIONAL APPLICATION NO. PCT/US96/09788 | | INTERNATIONAL FILING DATE June 6, 1996 | | PRIORITY DATE CLAIMED June 7, 1995 |
| TITLE OF INVENTION TRANSVASCULAR AND INTRACELLULAR DELIVERY OF LIPIDIZED PROTEINS | | | | |
| APPLICANT(S) FOR DO/EO/US BERNARD MALFROY-CAMINE | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned) 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | |
| Items 11. to 16. below concern other document(s) or information included: | | | | |
| <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: International Search Report; International Preliminary Examination Report; 8 sheets informal drawings | | | | |

17. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO..... \$910.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)

..... \$700.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482)

but international search fee paid to USPTO (37 CFR 1.445(a)(2)).. \$770.00

Neither international preliminary examination fee (37 CFR 1.482) nor

international search fee (37CFR 1.445(a)(2)) paid to USPTO..... \$1,040.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)

| | |
|-------------------------------------------------------------------|---------|
| and all claims satisfied provisions of PCT Article 33(2)-(4)..... | \$96.00 |
|-------------------------------------------------------------------|---------|

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$ 930

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

| Claims | | Number Filed | | Number Extra | Rate |
|--------|--------|--------------|-------|--------------|-----------|
| Total | Claims | 23 | -20 - | 3 | X \$22.00 |

| | | | | | |
|--------------------|---|------|---|-----------|--------|
| Independent Claims | 6 | -3 = | 3 | X \$80.00 | \$ 246 |
|--------------------|---|------|---|-----------|--------|

| | |
|----------------------------------------------|------------|
| Multiple dependent claims(s) (if applicable) | + \$260.00 |
|----------------------------------------------|------------|

| | | |
|------------------------------------|----------|----------------|
| TOTAL OF ABOVE CALCULATIONS | = | \$1,242 |
|------------------------------------|----------|----------------|

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

| | | |
|-----------------|----------|-----------|
| SUBTOTAL | = | \$ |
|-----------------|----------|-----------|

Processing fee of \$130.00 for furnishing the English translation later the ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)). ☐ +

| | | |
|---------------------------|----------|----------------|
| TOTAL NATIONAL FEE | = | \$1,242 |
|---------------------------|----------|----------------|

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

| | |
|----------------------------|-------------------|
| TOTAL FEES ENCLOSED | = \$ 1,242 |
|----------------------------|-------------------|

| | |
|----------------------|-----------------|
| Amount to be: | |
| refunded | \$ |
| charged | \$ 1,242 |

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 20-1430 in the amount of \$ 1,242 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment to the Deposit Account No. 20-1430. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Eugenia Garrett-Wackowski
Townsend and Townsend and Crew LLP
Two Embarcadero Center, 8th Fl.
San Francisco, CA 94111-3834

SIGNATURE

Kevin Bastian

NAME _____

34,774.

REGISTRATION NUMBER

08/973576
80 Rec'd PCT/PTO 05 DEC 1997

EXPRESS MAIL NO. EM589215423

DATE OF DEPOSIT: December 5, 1997

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D. C. 20231.

By: _____

Sumit Dutt

Attorney Docket No. 15390-000130US